

Standards for Good Research Practice

Novo Nordisk Foundation's Standards for Good Research Practice

The Novo Nordisk Foundation wants to support research of high quality that is conducted with respect for and in harmony with the involved stakeholders and society in general.

The aim of these Standards is therefore

- To promote social responsibility and a good overall environment
- To develop and support good research, not to impede it
- To establish, within the Foundation, trust in the grant recipients
- To be viewed by the grant recipients as an aid to good development
- To protect the reputation of the Novo Group

A. STANDARDS

1. Research ethics and research practice

The grant recipient shall observe high research ethics and good research practice.

2. Laws and regulations

The grant recipient shall comply with generally recognised standards for research ethics - as a minimum the rules of the research institution in question - as well as all relevant laws and regulations in the country in which the grant is utilised.

The grant recipient shall comply with the international and national rules on the safety and rights of clinical trial patients and healthy volunteers, including the Nuremberg Code, the Declaration of Helsinki and other ethical guidelines. Should the grant recipient wish to depart from these rules, this must be stated on the application and permission must be obtained from the relevant authorities.

The grant recipient shall comply with the international and national rules on animal welfare, cf. the regulations of the Danish Animal Experiment Inspectorate.

The grant recipient shall comply with all laws and regulations on bribery and corruption in the country in question, and shall not engage in such activities in any form.

3. Labour practices

Employees on the project

- Shall be paid, as a minimum, in accordance with the applicable collective agreement
- Shall have working hours and holidays in accordance with the national rules
- Shall have the right to organise and negotiate collectively to the extent that it is standard practice or permitted legally
- Shall not be discriminated against on grounds of race, gender, national origin, religion, political affiliation, age, disability etc.
- Shall be treated with respect and dignity

4. Working environment

The grant recipient's institution shall provide the employees on the project with a safe, healthy and hygienic workplace.

5. Environment

In general, the grant recipient shall, when conducting the research in question, minimise adverse impacts on the environment to the greatest possible extent.

This shall be achieved specifically through

- Compliance with environmental laws
- Establishing systems to ensure safe handling, storage etc. of raw materials, utensils, waste etc.
- Avoiding contamination of the local environment
- Improving environmental performance continuously

6. Eligibility requirements

An applicant may not receive funding from a Novo Nordisk Foundation committee if the applicant is a member of that

committee.

An applicant may not normally receive funding from the Foundation if the applicant is a member of one of the Foundation's committees.

Employees in the Novo Group may not apply for funding from the Foundation.

B. IMPLEMENTATION

Before the appropriation of the grant

All applicants must confirm that they will comply with the Foundation's Standards for Good Research Practice.

A statement of compliance with the Foundation's Standards will furthermore be a prerequisite to the awarding of funding.

In case of non-compliance

If the Foundation learns that a grant recipient has not or may not have been complied with the Foundation's Standards, the claim will be submitted to the grant recipient for comment.

If a relevant body decides that the Foundation's Standards have not been complied with, or if the Foundation otherwise considers the Standards as not having been complied with, the Foundation may stop payment during the grant period and the Foundation may require the repayment of funding already paid out.

The Foundation may decide to deny future funding to the grant recipient.

Notes:

Danish Animal Experiment Inspectorate regulations, see www.indberetning.dyreforsogstilsynet.dk (in Danish)

The UN convention against corruption, see the website for the United Nations, Office of Drugs and Crime,

www.unodc.org <http://www.unodc.org/unodc/en/corruption.html>

Declaration of Helsinki, see the website of the World Medical Association, www.wma.net

<http://www.wma.net/e/policy/b3.htm>

The Nuremberg Code, see <http://www.onlineethics.org/CMS/research/resref/nuremberg.aspx>

Novo Nordisk Foundation, 12 December 2007